# BY ORDER OF THE SECRETARY OF THE AIR FORCE

AIR FORCE INSTRUCTION 36-1004
1 JULY 1999



Personnel

# MANAGING THE CIVILIAN RECOGNITION PROGRAM

#### COMPLIANCE WITH THIS PUBLICATION IS MANDATORY

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OPR: HQ USAF/DPDFC (Maj R. A. Rennicker) Certified by: HQ USAF/DPD (Col Georgette

Toews)

Pages: 25

Distribution: F

This instruction describes a program to recognize the achievements of civilian employees. It implements the requirements of DoD 1400.25-M, Subchapter 451 (Awards), Title 5, United States Code, Chapter 45 (Incentive Awards), Title 5 of the Code of Federal Regulations (CFR), Part 451 (Awards), and AFPD 36-10, "Civilian Performance Management." Authority to collect or maintain the records prescribed is 10 U.S.C. 8013. Maintain and dispose of records created as a result of processes prescribed in this publication in accordance with AFMAN 37-139, "Records Disposition Schedule." System of Records F040 ASG A applies.

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#### **INCENTIVE AWARDS PROGRAM**

- **1.1. Recognition for Accomplishments.** The Air Force Incentive Awards Program provides special awards for superior accomplishments. Awards under this chapter should not be used to replace performance awards.
- **1.2. Approving Awards.** The Secretary of the Air Force (SAF) approves all cash awards under this instruction for senior executive service (SES) members.
  - 1.2.1. See table 2.1. and table 4.1. for approval levels for all other awards.
  - 1.2.2. Managers make the decision to grant or refuse an award.

## 1.3. Delegating Award Approval.

- 1.3.1. Establish broad approval authority to allow award approval at the lowest possible level without official committee action.
- 1.3.2. Have the installation incentive award committee review award recommendations over \$2,500.
- **1.4. Air Force Incentive Awards Board (AFIAB).** The Air Force Chief of Staff appoints the AFIAB, which is chaired by a general officer or civilian equivalent. The board may approve, disapprove, or modify awards.
  - 1.4.1. The board makes recommendations to SAF to approve:
    - 1.4.1.1. Air Force Decoration for Exceptional Civilian Service
    - 1.4.1.2. Air Force Civilian Award for Valor
    - 1.4.1.3. President's Award for Distinguished Federal Civilian Service
    - 1.4.1.4. Non-Federal awards, except those limited to senior executives (SES, SL, and ST) (AFI 36-901, *Air Force Senior Executive Resources*)
  - 1.4.2. The Board recommends various awards, including The Department of Defense (DoD) Distinguished Civilian Service Award to SAF for submission to the DoD Washington Headquarters Services Directorate for Personnel and Services, or other appropriate authorities, including nominations for cash awards in excess of \$10,000.
- **1.5. Major Command (MAJCOM) Incentive Awards Committee.** Each MAJCOM and comparable organization that has a civilian personnel division may establish a committee including military and civilian personnel. The decision to use or not use a committee rests with the award approval authority. The committee:
  - 1.5.1. Reviews, approves, or disapproves recommendations for awards.
  - 1.5.2. Recommends or selects nominees for competitive awards.
  - 1.5.3. Advises on program improvements.

- 1.5.4. Reviews, completes, and provides a recommendation or decision to the MAJCOM commander concerning:
  - 1.5.4.1. Cash award amounts that MAJCOM or AFIAB must approve
  - 1.5.4.2. Honorary recognition for valor, meritorious, exceptional, or distinguished service
  - 1.5.4.3. Command nominations to send to AFIAB

#### 1.6. Installation Incentive Awards Committee.

- 1.6.1. Installations with a servicing Civilian Personnel Flight (CPF) may establish an incentive awards committee. The CPF gives program support and technical assistance. The committee:
  - 1.6.1.1. Reviews, computes, and recommends to the owning commander approval or disapproval of cash award amounts that require committee action or higher level approval.
  - 1.6.1.2. Recommends honorary awards that need higher level approval and nominations for Federal or non-Federal awards.
- 1.6.2. Field operating agency (FOA) commanders may use existing installation incentive awards committee. The CPF may establish a subcommittee of FOA members.
- 1.6.3. Tenant units use the local incentive awards committee that the servicing CPF supports through host and tenant servicing agreements.
- 1.7. Presenting and Publicizing Awards. The commander, management official, or supervisor recognizes employees and presents awards at a suitable ceremony. When an Air Force employee receives recognition outside the Air Force (for example, the DoD Distinguished Civilian Service Award), the Air Force organization to which the employee belongs makes all arrangements, including protocol, with the awarding party. Recognize and publicize significant achievements or contributions in the local community as well as on base.
- **1.8.** Giving Certificates in Braille. All Air Force award certificates for blind award recipients must have Braille overlaid lettering as well as standard print. Use local purchase authority to obtain these certificates.
- **1.9. Recognizing Local Community or Civic Officials (Non-Federal Employ ees).** When local business persons and civic officials offer significant services or contributions to the installation and the Air Force, commanders may recognize these non-Federal persons using AF Form 3033, **Certificate of Appreciation**. It is DoD policy to <u>not</u> recognize private citizens or private entities that have a commercial or profit making relationship with the Department, unless the contribution is substantially beyond that specified or implied in the terms of the contract establishing the relationship, or the recognition is in the public interest.
- **1.10.** Incentive Awards Program Annual Report (Civilian), IRCN: 1059-OPM-AN. AFPC/DPS writes the statistical portion of this report through DCPDS. The report is provided to HQ USAF/DPDF, 1040 Air Force Pentagon, Washington DC 20330-1040, for review and transmittal to the OPM.
- **1.11. Other Recognition Programs.** Air Force employees may receive monetary and honorary awards from other federal departments and agencies for contributions that benefit those operations. The Air

Force may grant monetary and honorary awards to employees of other federal departments and agencies when their contributions benefit the Air Force.

**1.12.** Wearing or Accepting Foreign Awards . An Air Force employee may not accept or wear a decoration from a foreign government without the express consent of Congress. The CPF coordinates foreign award inquiries for US citizen employees with HQ USAF/DPDF.

Figure 1.1. Sample AF Form 1768, Staff Summary Sheet.

				Staff Sum	ma	ry Sheet			
	То	Action	Signature (Sur	name), Grade, Date		То	Action	Signatur	e (Surname), Grade, Dat
1					4				
2	-				5				
3					6				
Gr	ade and Surname of	Action Of	ficer	Symbol		Phone			Suspense Date
	bject		1 6: '1: 6	. 07		<u> </u>		Barre - /-	SSS Date
	ecoration for Ex	ceptiona	l Civilian Se	rvice - (Name)					
2. acco co 3.	This award recomplishments ntinue. (Name)  Justification: ( Other data: So Gr Du Pe	ognizes with sign has no in the second secon	employees whificant Air Femmediate plane mplishments urity Number vered:	ith continuous expressions for retiremental (interest) (instification material)	xcep e and t.	otional perform I impact and water exceed one pa	mance tha whose ser ge, depen	t resulte vice is e ding upo	on award criteria)
5.	Proposed Citat	ion: <i>(no</i>	t to exceed 9	0 words)					
Re	ecommendation	ı. Appro	oval.	,					
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#### MONETARY INCENTIVE AWARDS

- **2.1. Purpose and Coverage.** The Air Force encourages using monetary incentive awards to increase productivity and recognize outstanding achievements.
  - 2.1.1. Monetary incentive awards do not substitute for other personnel actions, pay, or performance awards.
  - 2.1.2. This program applies to SES, SL, ST, GS, and FWS employees.
  - 2.1.3. It does not apply to:
    - 2.1.3.1. Non-US citizen employees (except Panama)
    - 2.1.3.2. Nonappropriated fund (NAF) employees
    - 2.1.3.3. Administrative law judges
    - 2.1.3.4. Prevailing rate employees whose pay is set outside the United States
    - 2.1.3.5. Foreign service employees
    - 2.1.3.6. Individuals on noncareer executive assignments under 5 CFR Part 305
- **2.2. Funding.** The program needs funds to comply with the spirit of the law and Air Force policy on civilian productivity and performance. The command, staff, and line managers account for civilian personnel cost management. Each activity should budget a percentage of its yearly civilian pay budget for funding awards.
- **2.3. Special Act or Service Award (SASA).** SASA recognizes a GS, ST, or FWS employee or group contribution for a nonrecurring special achievement.
  - 2.3.1. SES members are eligible for SASAs and incentive awards, provided they are not used to supplement or circumvent the regular SES bonus system for recognizing overall performance.
  - 2.3.2. Grant SASA at any time for special acts or services, including while an employee was on detail or other temporary assignment.
  - 2.3.3. Submit recommendations for SASAs in narrative form immediately after the event. Use AF Form 1768, **Staff Summary Sheet**, and AF Form 2860, **Special Act or Service Award**. AF Form 1768 must include the recommended award amount and a brief description of the act or service.
  - 2.3.4. See **table 2.1.** for approval authority. Send original and three copies of recommendations for SASAs exceeding \$10,000 to HQ USAF/DPDF for AFIAB review.
  - 2.3.5. Base payment for such awards on tangible or intangible benefits (table 2.2., table 2.3. and table 2.4.).
- **2.4. Notable Achievement Award (NAA).** The NAA is a category of SASA for GS, ST, and FWS employees, based on noteworthy contributions that do not warrant SASA. Use AF Form 1768 and AF Form 3032, **Certificate of Achievement**.

- 2.4.1. A supervisor at any level in the employee's chain of command may initiate the award. The next higher level supervisor gives final approval.
- 2.4.2. Award amounts may range from \$25-\$300. Do not grant the award for a contribution for which you gave a previous award.
- 2.4.3. Managers outside the employee's chain of command may also nominate an employee for a NAA by making recommendations to the employee's immediate supervisor for approval by the employee's second-line supervisor. In which case, the nominating organization: Pays the award costs and provides the funding information to the employee's organization. *NOTE*: If the administrative cost of transferring funds would exceed the amount of the award, the employing agency shall absorb the award costs and pay the award.
- 2.4.4. Local procedures may be established for nominating and processing NAAs.
- **2.5.** Using the Productivity Cash Incentive Program (PCIP). The Air Force encourages activities to establish unique PCIPs. A PCIP augments job performance awards rather than replacing them. Limit PCIP to jobs where:
  - 2.5.1. Tasks are recurring and repetitive
  - 2.5.2. You can objectively measure performance
  - 2.5.3. A specific employee or group of employees performs the work
  - 2.5.4. The employee controls the work pace

**NOTE:** HQ USAF/DPD must approve each PCIP before implementation. Send PCIP plans and documents to HQ USAF/DPD, 1040 Air Force Pentagon, Washington DC 20330-1040, at least 120 calendar days before the desired implementation date.

**Table 2.1. Monetary Incentive Awards.** 

BASIS OF	EXAMPLE OF	AWARD/	METHOD OF NOMINA-	APPROVAL
AWARD	ACHIEVEMENT	TYPE	TION	AUTHORITY
A special act or service resulting in verifiable, significant, tangible or intangible benefits to the government  AF Form 2860	Superior accomplishment, or scientific achievement that saves government significant time, manpower, or money	SASA, individual or group	Within 60 days after completing special act or service, first-level supervisor prepares AF Form 1768 to describe achievement and recommend amount of award and submits through proper channels	Up to \$10,000: Installation commander or designee  Over \$10,000, not to exceed \$25,000: OPM through AFIAB. Presidential approval is required for all awards over \$25,000
A special act or service resulting in noteworthy contributions  AF Form 3032	Personal effort that eliminates a wasteful or inefficient practice, or enhances mission effectiveness	NAA, indi- vidual or group	Within 30 days of act, first-level supervisor prepares AF Form 1768 to describe achievement and recommend amount of award	Second-level supervisor may approve NAA in amounts ranging from \$25-\$300

Table 2.2. Scale of Recommended Awards Based on Tangible Benefits.

ESTIMATED FIRST-YEAR BENEFITS	AMOUNT OF AWARD TO EMPLOYEE
TO GOVERNMENT	
Up to \$100,000	10% of benefits up to \$10,000 (minimum award is \$25)
\$100,001 and above	\$10,000 plus 1% of benefits above \$100,001

**NOTE:** When possible, recommending officials identify dollar savings or benefits resulting from the contribution and use these savings as the basis for award. Base awards for contributions that result in tangible benefits or savings on an estimate of the first-year dollar savings or benefits. If the tangible benefits or savings in the first year following implementation do not represent benefits to the government, base award on benefits or savings during the second or third year or on an average of the several years following implementation.

Table 2.3. Scale of Recommended Awards Based on Intangible Benefits.

VALUE OF BENEFIT			F APPLICATION	
	Limited	Broad	General	Government-wide
				or National
	Affects functions,	Affects func-	Affects functions,	Affects functions,
	mission or person-	tions, mission or	mission or personnel	mission or personnel
	nel of one office,	personnel of an	of several regional	of more than one
	facility, installation,	entire regional	areas or commands, or	department or agency,
	regional area, or	area, command	an entire department	or is in the public
	organizational head-		or agency	interest of the US or
	quarters element			beyond
	Affects a small area	Affects an impor-	Affects a broad area of	
	of science and tech-	tant area of sci-	science or technology	
	nology.	ence or		
		technology		
Moderate Value	\$25-\$125 (mini-	\$125-\$325	\$325-\$650	\$650-\$1,300
Changing an operating	mum award is \$25)			
principle or procedure with				
limited impact or use		divine divine	diversity and the second	
Substantial Value	\$125-\$325	\$325-\$650	\$650-\$1,300	\$1,300-\$3,150
Substantially changing or				
modifying procedures; sig-				
nificantly raising the value				
of a product, activity, pro-				
gram, or service to the				
public <b>High Value</b>	\$325-\$650	\$650-\$1,300	\$1,300-\$3,150	\$3,150-\$6,300
Completely revising a	\$323-\$030	\$030-\$1,300	\$1,300-\$3,130	\$5,130-\$0,300
basic principle or proce-				
dure; significantly improv-				
ing the value of a product				
or service				
Exceptional Value	\$650-\$1,300	\$1,300-\$3,150	\$3,150-\$6,300	\$6,300-\$10,000
Initiating a new principle	Ψ030 Ψ1,300	Ψ1,500 Ψ5,150	φ3,130 ψ0,300	φο,500 φ10,000
or major procedure; major				
improvement in the quality				(Note: OPM may
of critical product, activity,				approve granting an
program, or service to the				award of \$10,000 to
public				\$25,000)
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**Table 2.4. Computing Awards for Contributions to PCIP.** 

TANGIBLE BENEFITS	AMOUNT OF AWARD
\$10,000-\$100,000	\$150 for first \$10,000 and \$25 for each additional \$10,000, or part
\$100,001-\$1,000,000	\$375 for first \$100,000 and \$50 for each additional \$100,000, or part
\$1,000,001 and up	\$825 for first \$1,000,000 and \$100 for each additional \$1,000,000, or part

**NOTE:** Use this table for awards discussed in paragraph 2.5.

### TIME-OFF INCENTIVE AWARDS

- **3.1. Granting Time-Off Awards.** Time-off awards grant time off from duty without loss of pay or charge to leave and are awarded for superior accomplishments that contribute to the quality, efficiency, or economy of government operations. Time-off awards are an alternate means of recognizing the superior accomplishments of employees with other than monetary or non-monetary awards. Time-off awards can be granted for performance as reflected in the employee's most recent rating of record and can be granted in lieu of, or in conjunction with, a Performance Award. Decisions to grant time-off awards shall be based upon the same criteria or circumstances as for other incentive awards (i.e., Special Act or Service Award). The amount of the time-off that is granted is based upon the value of the individual's contribution, not upon the grade or salary of the individual.
  - 3.1.1. Supervisors may approve time-off awards of no more than 1 working day without review and approval of a higher official. Complete all documentation and processing requirements.
  - 3.1.2. Officials who exercise personnel appointing authority may approve time-off awards in excess of 1 working day. They may delegate this authority to officials who approve recommendations for other incentive awards. Designate these officials in local written operating guidelines.
- **3.2.** Eligibility. Grant a time-off award to any federal employee who meets the definition at 5 U.S.C. 2105, including SES employees and direct-hire foreign national employees (FNE). Indirect-hire FNEs are eligible for these awards only if an incentive awards program established by agreement with the host country includes such a provision.

### 3.3. Time-Off Award Limits:

- 3.3.1. Full-time employees, may be awarded a total time-off of 80 hours during any leave year. The maximum amount of time-off that can be approved for any single contribution is 40 hours.
- 3.3.2. For part-time employees or employees with an uncommon tour of duty, officials may grant during any leave year an amount of time equal to the average number of work hours in the employee's biweekly scheduled tour of duty. Maximum award for any single contribution is one-half the maximum amount of time that can be granted during the year.
- 3.3.3. Schedule awarded time-off within 90 calendar days after the effective date of the award. Employees forfeit any time-off not used within 1 year from the effective date.
- 3.3.4. Schedule time-off to avoid adversely affecting an employee who has an annual leave "use or lose" situation. Awarded time-off cannot be used to justify restoring forfeited annual leave.
- 3.3.5. Employees may never convert a time-off award to a cash payment.
- 3.3.6. Employees may not transfer approved unused time-off when they transfer from the Air Force to another DoD component or to another federal agency, nor may employees coming from another department or federal agency transfer time-off to the Air Force.
- 3.3.7. Time-off awards shall not be granted to create the effect of a holiday or treated as administrative excusals or leave, e.g., they shall not be granted in conjunction with a military "down" or "train-

ing" day or the like which would grant the entire civilian employee population, or a majority of the civilian population, a time-off award to be used on a specified day.

- **3.4. Documenting Time-off.** Support any time-off award with appropriate written justification. Submit approved time-off awards to the CPF for processing. Include the employee's name, social security number, organization, and number of hours of time-off granted.
  - 3.4.1. Justify time-off by explaining how the employee merits the award. Include certification from the supervisor or recommending official as shown below:

"I have considered fully the wage costs and productivity loss in granting this time-off award. The amount of time-off granted is commensurate with the individual's contribution or accomplishment. I also considered the unit's workload and unit employees' leave projections and certify that the employee can schedule the time-off in addition to other scheduled leave. I also considered other available forms of recognition in determining the amount of this time-off award."

- 3.4.2. Include the approving official's name, position title, signature, and date signed, as well as those of the recommending official, as appropriate.
- 3.4.3. Document the approved time-off award on a Standard Form 50 (SF 50), **Notice of Personnel Action**. Keep the form in the employee's OPF. File award justification in the employee performance file (EPF). Prepare separate SF 50 for each approved award.
- 3.4.4. Document the effective date on the SF 50 after appropriate review and verification of legal requirements by an approving official.

# 3.5. Recording Scheduled Time-Off.

- 3.5.1. Record the employee's use of time-off on the employee's time and attendance reports according to instructions published by the civilian payroll function.
- 3.5.2. The employee must get supervisory approval to schedule and use the time-off award. Employee requests time-off far enough in advance to use it without disrupting the unit's work.
- 3.5.3. Supervisors who want to schedule an employee's time-off before receiving the SF-50 may check with the servicing CPF to verify that regulatory requirements were met.

### HONORARY INCENTIVE AWARDS

- **4.1. General Information.** Use honorary incentive awards alone or in addition to monetary awards to acknowledge significant contributions. The honorary awards in this chapter serve as incentives to employees with continuous federal service. Except for the Outstanding Civilian Career Service Award and the valor awards, do not nominate employees who are about to retire.
- **4.2.** Eligibility for Multiple Federal Awards. You may recommend an employee for the DoD Distinguished Civilian Service Award or the President's Award for Distinguished Federal Civilian Service to recognize the same act or achievement for which the Air Force previously granted an award.
- **4.3. Eligibility for More Than One Air Force Award.** You may give an honorary Air Force award to recognize a contribution for which the Air Force previously awarded a cash award. *RESTRICTIONS*:
  - 4.3.1. Give only one Air Force honorary award for a single act, achievement, or period of service.
  - 4.3.2. Do not give an honorary award to recognize an act or achievement for which military recognition was previously given.
- **EXCEPTION:** The Air Force Outstanding Civilian Career Service Award is exempt from these restrictions since it recognizes long-term service that may include one or more honorary awards.
- **4.4. Processing Honorary Awards.** Since honorary awards require considerable review and deliberation, avoid short-notice processing. Submit honorary award nominations for presentation at a special ceremony at least 3 months before the scheduled event.
  - 4.4.1. Local incentive awards procedures must be established to review and make recommendations on all awards that must be forwarded to higher headquarters for approval.
  - 4.4.2. Awards approved by SAF or higher authority go through local incentive award channels and the parent MAJCOM or comparable organization to HQ USAF/DPDF, 1040 Air Force Pentagon, Washington DC 20330-1040, ATTENTION: Executive Secretary, Air Force Incentive Awards Board. The board sends approved recommendations to SAF.
- **4.5.** Air Force Honorary Awards. Air Force honorary awards are outlined in table 4.1.
- **4.6. Non-Air Force or Non-Federal Honorary Awards.** Table **4.2** lists various non-Air Force or non-Federal awards and criteria for Air Force participation.
- **4.7. Nomination Deadlines.** Commanders at each echelon should participate in any locally sponsored honorary award programs that recognize performance or service of Air Force employees. Tentative suspense dates set by sponsors of the various awards will be published annually by HQ USAF/DPDF (award solicitation schedule). HQ USAF/DPDF will identify awards that are mailed directly to the award sponsor (e.g., National Public Service Awards). Suspense dates for awards that must be mailed to HQ USAF/DPDF for AFIAB review prior to submission to the award sponsor will also be provided in the award solicitation schedule to allow sufficient processing time (e.g., Arthur S. Flemming Award). Because sponsor solicitations for award nominations frequently arrive with short notice, use the HQ USAF/DPDF

award solicitation schedule to request nominations 60-90 days before suspense date. HQ USAF/DPDF will announce any major suspense date changes in writing to MAJCOMs and FOAs.

- **4.8. Awarding Letters and Certificates of Commendation.** Use AF Form 3034, **Certificate of Commendation**, with a letter of commendation to recognize an employee for an unusual achievement or contribution that does not meet the criteria for other awards. Such situations include: Noteworthy accomplishments while on special assignment or during short periods when an unusual work situation or emergency exists; Air Force programs recognized by an outside organization to which the employee made a contribution that was significant; and exceptional participation in civic or professional activities related to official employment.
  - 4.8.1. Letters of commendation must contain a complete and concise description of the employee's act, accomplishment, or service and identify position title, organization, and dates. Commanders (unit level and above) may sign certificates of commendation.
  - 4.8.2. Supervisors give certificates and letters of commendation to employees. The supervisor annotates the employee's AF Form 971, **Supervisor's Employee Brief**, with reference to the letter or certificate, and they are filed in the employee's EPF.
- **4.9.** Letters and Certificates of Appreciation. AF Form 3033, Certificate of Appreciation, is an all-purpose certificate that you can use to recognize individuals for a variety of accomplishments that do not fall under the performance awards category.
  - 4.9.1. You may use letters or certificates of appreciation at any time to express appreciation to an employee for an above-average act or service.
  - 4.9.2. You may use the letter or certificate to recognize employee contributions to civic or professional activities normally expected in the performance of the job, such as speaking, participating in conferences, or other leadership activities.
  - 4.9.3. The employee's immediate supervisor, higher-level supervisor, or any person having knowledge of the service may sign the letter or certificate. The supervisor gives the letter or certificate to the employee.
  - 4.9.4. Record and file a copy of the certificate or letter of appreciation with the employee's AF Form 971. Do not file a copy in the OPF or EPF.
- **4.10. Other Air Force Recognition.** Civilian employees are eligible for other types of recognition according to the governing regulation or instruction. *NOTE:* The Air Force Outstanding Unit Award (AFOUA) and Air Force Organizational Excellence Award (AFOEA) are not documented in the OPF or DCPDS. Appropriate lapel buttons are used exclusively to recognize Air Force civilians assigned or attached to units awarded the AFOUA or AFOEA who contributed to the achievements of a cited unit.
- **4.11. Special Command Trophies and Awards.** The Air Force encourages MAJCOMs and comparable organizations to establish unique honorary awards to recognize superior accomplishments. Likewise, the establishment of local quarterly/annual awards programs is encouraged. In such event, these programs should conform to guidance cited in 4.11.2.
  - 4.11.1. Obtain approval from HQ USAF/DPD before presenting a special command trophy or award to a nationally prominent person serving as an expert or consultant. Include the following in the

- approval request: Name of the person, copy of the awards committee minutes, and other supporting data. Send to HQ USAF/DPD at least 60 calendar days before the presentation ceremony.
- 4.11.2. You may present nonmonetary awards and define such items as a medal, certificate, plaque, citation, badge, or other similar items as having award or honor connotation. You may give other items with the command or organization logo, such as belt buckles and jackets, but such items must be valued less than \$50.
- **4.12. Recognizing Length of Service.** Management presents certificates and lapel buttons to recognize 10, 20, 30, 40, and 50 years of creditable federal service if at least 5 years were spent in civilian service.
  - 4.12.1. Creditable federal service includes both civilian service and all honorable military service creditable for leave. Normally, federal service as a military member that led to formal military retirement is considered appropriately recognized at the time of that retirement. However, an employee who wants recognition for combined civilian and military service may request it from the CPF.
  - 4.12.2. Obtain these signatures for the certificates:
    - 4.12.2.1. AF Form 3589, **10 Year Length of Service Certificate**--Division chief or comparable level (unless the employee works for higher level)
    - 4.12.2.2. AF Form 3590, **20 Year Length of Service Certificate**--Director in departmental service (unless the employee works for higher level)
    - 4.12.2.3. AF Form 3591, **30 Year Length of Service Certificate**--Deputy chief of staff, installation commander or designated representative
    - 4.12.2.4. AF Form 3592, 40 Year Length of Service Certificate--MAJCOM commander.
    - 4.12.2.5. AF Form 3593, **50 Year Length of Service Certificate**--SAF (*NOTE:* For 50-year recognition, HQ USAF/DPDF identifies potential recipients, obtains eligibility verification from the MAJCOMs and processes certificates to SAF for signature.
- **4.13.** Certificate of Retirement and Retiree Pin. AF Form 342, Retirement Certificate of Civilian Service, and the retiree lapel pin (Stock # NSN 8455-01-345-2768) are available if desired.

Table 4.1. Air Force Honorary Awards.

	Table 4.1. Air Force Honorary Awards.					
AWARD/	BASIS OF AWARD	AWARD DESCRIPTION	METHOD OF NOMINA-	APPROVAL		
TYPE			TION	AUTHORITY		
Letter/Cer-	Act or service that is	Certificate (AF Form	Prepare letter and or certifi-	Official having		
tificate of	above average.	3033) and letter	cate of appreciation; provide	knowledge of the act		
Apprecia-			to employee thru proper	or service		
tion (Indi-			channels.			
vidual or						
Group)						
Letter/Cer-	Any unusual achieve-	Certificate (AF Form	Prepare letter and certificate	Installation: Unit/		
tificate of	ment or contribution	3034) and letter	of commendation; provide to	CC		
Commenda-	beneficial to the Air		employee thru proper chan-	MAJCOM: Divi-		
tion (Indi-	Force, but not meeting		nels; provide copy to CPF.	sion Chief		
vidual or	the criteria for a cash					
Group)	award.			Air Staff: Branch		
				Chief		
Command	Demonstrating unusual	Sterling silver medal bear-	Supervisor prepares AF	MAJCOM CC or		
Civilian	courage or competence	ing the AF thunderbolt on	Form 1768 describing	CV (May be dele-		
Award for	in an emergency, either	equilateral triangle sur-	accomplish-ment(s) and draft	gated to MAJCOM		
Valor (Indi-	on or off duty (but	mounted by AF eagle	citation (90 words or less)	Director or Wing/		
vidual)	beyond the call of duty).	perched on a scroll	(Figure 4.1). Submit thru	CC)		
	Examples: Supervising	inscribed "Valor" within an	proper channels to MAJ-			
	orderly exit from build-	olive wreath. Light blue	COM Awards OPR within 3			
	ing during fire, execut-	silk ribbon with 4 yellow	months of act/event. Copy to			
	ing proper course of	stripes and 1 red stripe in	CPF. MAJCOM provides			
	action during an emer-	center. Sterling silver lapel	certificate (AF Form 1307);			
	gency.	emblem, ribbon rosette, AF	servicing CPF provides			
		Form 1307, and up to \$500	medal set (stock #			
		optional honorarium	8455-00-965-4714).			
		accompany award.				
AF Civilian	Act of heroism with vol-	Gold-colored medal of	Supervisor prepares AF	SAF		
Award for	untary risk of personal	same design as Command	Form 1768 describing act/			
Valor (Indi-	safety in the face of dan-	Civilian Award for Valor.	event and draft citation (90			
vidual)	ger, on or off duty.	Light blue ribbon with 4	words or less) (Figure 6.1).			
	Example: Approaching	yellow stripes, 2 dark blue	Submit thru proper channels			
	or entering burning air-	stripes, and 1 red stripe in	to MAJCOM Awards OPR			
	craft to rescue trapped	center. Gold-colored lapel	within 3 months of act/event.			
	victims.	emblem, ribbon rosette, AF	Upon approval, pro-vide			
		Form 1306, and up to	copy to CPF. HQ USAF/			
		\$1,000 optional honorar-	DPDF prepares and provides			
		ium accompany this award.	certificate (AF Form 1306);			
		-	servicing CPF provides			
			medal set (stock #			
			8455-00-965-4718).			

AWARD/	BASIS OF AWARD	AWARD DESCRIPTION	METHOD OF NOMINA-	APPROVAL
TYPE			TION	AUTHORITY
Exemplary	Clearly outstanding ser-	Bronze colored medal	Supervisor prepares AF	Installation: Wing/
Civilian Ser-	vice supporting a com-	bearing AF coat of arms	Form 1768 describing	CC
vice Award	mand mission for at least	within wreath of laurel	accomplishment and draft	MAJCOM: Direc-
(Individual)	1 year or a single act that	leaves. Ribbon is edged	citation (90 words or less)	tor
	significantly contrib-	with green with 3 red	(Figure 6.1). Provide copy to	Air Staff: Division
	uted to command mis-	stripes in middle, bordered	CPF. Unit provides certifi-	Chief (May be dele-
	sion. Action must	by light blue and white.	cate (AF Form 3517); servic-	gated one level to
	clearly demonstrate how	Miniature medal, lapel pin,	ing CPF provides medal set	Group/CC, division
	employee exceeded ser-	and AF Form 3517 accom-	(stock # 8455-01-344-8740).	chief, or branch
	vice expected of individ-	pany this award.		chief, respectively.)
	uals with similar			chiej, respectivety.)
	responsibilities.			
Meritorious	Outstanding perfor-	Sterling silver medal and	Supervisor prepares AF	MAJCOM CC or
Civilian Ser-	mance worthy of recog-	lapel emblem bearing AF	Form 1768 describing	CV
vice Award	nition for significant	coat of arms with wreath of	accomplish-ments and draft	Air Staff: DCS
(Individual)	accomplishments with	laurel leaves. Light blue	citation (90 words or less)	7111 Stairi. Des
	command-wide impact.	silk ribbon rosette with	(Figure 6.1). Submit thru	
	Individuals must have	gold and dark blue in cen-	proper channels to MAJ-	
	performed assigned	ter. Miniature medal and	COM Awards OPR within 3	
	duties for at least 1 year.	AF Form 1166 accompany	months of act/event. Upon	
	This award is not appro-	this award. (Emblem with	approval, provide copy to	
	priate for individuals	ruby indicates receipt of	CPF. MAJCOM provides	
	about to retire (see the	more than one meritorious	certificate (AF Form 1166);	
	OCCSA).	award.)	servicing CPF provides	
			medal set (stock #	
			8455-00-571-8782; minia-	
			ture medal: #	
			8455-00-914-1851).	
Outstanding	Outstanding career ser-	Bronze medal bearing AF	Supervisor prepares AF	Installation/MAJ-
Civilian	vice meriting recogni-	coat of arms with a wreath	Form 1768 describing career	COM: MAJCOM
Career Ser-	tion at the time of	of laurel leaves. Ribbon is	achievements and draft cita-	CC or CV (may be
vice Award	retirement. Demonstrat-	white, trimmed in maroon,	tion (90 words or less) (Fig-	delegated to Wing/
(OCCSA)	ing significant accom-	with 3 maroon strips in the	ure 6.1). Submit thru proper	CC or MAJCOM
(Individual)	plishments, leadership,	center. Bronze lapel	channels to MAJCOM	Director)Air Staff:
	unusual competence,	emblem and certificate (AF	Awards OPR within 30 days	CSAF or VCSAF
	and significant impact	Form 2856) accompany	of desired presentation date.	(may be delegated to
	upon the AF mission	this award.	Provide copy to CPF. Unit	DCS)
	throughout the		provides certificate (AF	
	employee's career.		Form 2856); servicing CPF	
			provides medal set (stock #	
			8455-01-187-0127).	

AWARD/	BASIS OF AWARD	AWARD DESCRIPTION	METHOD OF NOMINA-	APPROVAL
TYPE			TION	AUTHORITY
Decoration	Exceptionally distin-	Gold-colored medal bear-	Supervisor prepares AF	SAF
for Excep-	guished service and	ing AF coat of arms with	Form 1768 with description	
tional Civil-	accomplishments having	wreath of laurel leaves.	of accomplishments and draft	
ian Service	significant AF-wide	Dark blue silk ribbon with	citation (90 words or less)	
(Individual)	scope and impact cover-	3 dotted golden-orange	(Figure 6.1). Submit thru	
	ing a period of at least	lines in center. Miniature	MAJCOM Awards OPR to	
	one year. Individuals	medal, ribbon rosette and	HQ USAF/DPDF for review	
	may be recommended	certificate (AF Form 1310)	by AFIAB. Upon approval,	
	for this award if they	accompany this award.	send copy to CPF. Certificate	
	previously received the	(Emblem with ruby indi-	is prepared and provided by	
	Meritorious Civilian	cates prior award of both	HQ USAF/DPDF; servicing	
	Service Award. Excep-	the Decoration for Excep-	CPF provides medal set	
	tions will be considered	tional Civilian Service and	(stock # 8455-00-965-4763;	
	only if justified by MAJ-	the Meritorious Civilian	miniature medal: #	
	COM commander or	Service Award. Emblem	8455-00-546-6108).	
	equivalent. Because this	with diamond indicates		
	decoration is the highest	receipt of more than one		
	recognition granted a	"Exceptional" award.)		
	civilian within AF and			
	serves as an incentive,			
	don't nominate employ-			
	ees about to retire (see			
	the OCCSA).			

**NOTE:** Even though an award may indicate that it is appropriate for individual recognition, it is possible to recognize members of a group or team on an individual basis with that award.

Figure 4.1. Sample Citations.

# ACCOMPLISHMENT AND ACHIEVEMENT

In recognition of her distinguished performance as Technical Director for Aircraft, Aeronautical Systems Division, Air Force Materiel Command, from 12 June 1992 to 6 November 1993. As a pioneer in the field of manned aerospace vehicles, Ms. Employee helped advance the Nation's first line of defense through creative thinking, highly competent technical leadership, and exceptional ability applied to the advancement of aircraft technology, development, and implementation of new systems management policies and procedures during this period. Her outstanding devotion and accomplishments reflect the highest credit upon herself and the United States Air Force.

# **VALOR AWARDS**

In recognition of his outstanding bravery while performing duties as Tractor Trailer and Fuel Systems Distribution Operator, Air Space Logistics Center, Air Force Space Command, 15 September 1993. When a vehicle crashed into a fuel stand in the storage area spreading burning fuel over a wide area, Mr. Employee was filling a tanker about 30 feet from the center of the fire. His alertness in moving his trailer to a safe position prevented a major fire disaster. Such courage reflects the highest devotion to duty and great credit upon himself and the United States Air Force.

Table 4.2. Major Awards Sponsored By Non-Air Force or Non-Federal Organizations.

Iable 4.2. Major Awards Sponsored By Non-Air Force or Non-Federal Organizations.				
NAME OF	PURPOSE	DESCRIPTION AND	BRIEF DESCRIPTION OF	
AWARD		NUMBER GRANTED	CRITERIA	
		ANNUALLY		
Arthur S.	To honor outstanding individuals	Engraved plaque pre-	Career employees of the Executive	
Flemming	in the federal government and to	sented by George Wash-	Branch for outstanding contribu-	
Award	recognize those who have per-	ington University (GWU)	tions to public service on either a	
(Established	formed outstanding and meritori-	School of Public Adminis-	sustained basis or through a single	
1955).	ous work for the government, to	tration in annual ceremony.	exceptional accomplishment in a	
	attract outstanding persons to the	Names of ten award recipi-	technical (scientific) or executive	
	federal government, encourage	ents placed on "Wall of	(administrative) field during the	
	high standards of performance in	Honor" at GWU, Washing-	past two years.	
	the federal service, and to enhance	ton campus.		
	appreciation of our form of gov-	-		
	ernment and the opportunities and			
	responsibilities it represents.			
William A.	To recognize and encourage out-	Gold Key and Certificate	Career employees under the age of	
Jump Memo-	standing and deserving young	of Merit.	37 whose work performance over	
rial Award	government employees for sus-	Dung anto d last Trans. N.	a period of at least five years in a	
(Established	tained growth, development,	Presented by Jump Memo-	line or staff position, demonstrates	
1950).	integrity, and interest in the field	rial Foundation in Dept of	unusual competence and interest	
->->	of public administration.	Agriculture annual award	in public administration, endow-	
	or puone auministration	ceremony.	ment for leadership in directing or	
			developing programs, creativity	
			and resourcefulness, close adher-	
			ence to the basic principles of	
			enlightened public service and	
			integrity and dedication to duty.	
William T.	To recognize outstanding contri-	Plaque and citation. Pre-	Sustained career achievements or	
Pecora Award	butions of individuals or groups	sented jointly by NASA	singular contributions of major	
(Established	toward understanding of the earth	and Dept of Interior in	importance by an individual or	
in 1974).	by remote sensing.	annual ceremony.	group to the field of remote sens-	
111 157 1).	by remote sensing.	annual ceremony.	ing. The award recognizes	
			achievement in the scientific and	
			technical remote sensing commu-	
			nity, as well as contributions lead-	
			ing to successful practical	
Air Force	To recognize the outstanding	Engraved plaque. Hon-	applications of remote sensing.  Nominations are evaluated on cri-	
Association	achievements by Air Force civil-	ored at the annual AFA	teria such as nature of the achieve-	
(AFA) Out-	ian appropriated fund employees	convention in Washing-	ment, development of techniques	
standing Air	for the period of 1 January	ton, DC, normally in Sep-	or procedures which significantly	
Force Civil-	through 31 December each year.	tember.	increased mission effectiveness,	
ian of the	unough 31 December each year.	Ciliber.		
			and breadth of impact. There are	
Year.			four categories: Civilian Wage	
			Employee of the Year (all FWS);	
			Civilian Program Specialist of the	
			Year (GS 1-11); Civilian Program	
			Manager of the Year (GS 12-13);	
			and Civilian Senior Manager of	
			the Year (GS 14-15).	

NAME OF	PURPOSE	DESCRIPTION AND	BRIEF DESCRIPTION OF
AWARD		NUMBER GRANTED	CRITERIA
		ANNUALLY	
Government	To recognize four federal employ-	Plaque and \$2500 to four	Nominees are judged on the
Employees	ees and one retiree for special	active employees; sepa-	impact of their work related or
Insurance	achievements, contributions, and	rate award presented to a	non-work related contributions
Company	outstanding service to the public	retired federal employee.	and extent to which they have
(GEICO)	good.		served as an inspiration to others
Public Ser-			and brought credit to the federal
vice Awards.			service in each of the four areas:
			substance abuse prevention and
			treatment; fire prevention and
			safety; physical rehabilitation;
			traffic safety and accident preven-
			tion.
National Pub-	The National Academy of Public	A lead crystal American	Awards are presented to public
lic Service	Administration (NAPA) and the	eagle at the ASPA National	service employees who currently
Award.	American Society for Public	Conference (Total of five	work, or have spent the primary
	Administration (ASPA) presents	presentations).	portion of their careers working in
	this award annually to outstanding		organizations in the public service;
	individuals in government ser-		have made outstanding contribu-
	vice. This award pays tribute to		tions on a sustained basis rather
	public service practitioners whose		than having performed a single
	careers exhibit the highest stan-		exceptional deed; and have
	dard of excellence, dedication,		accomplished or caused to be
	and accomplishment over a sus-		accomplished significant pro-
	tained period of time and to		grams or projects within their
	underscore the need for creative		areas of responsibility to the ulti-
	and highly skilled individuals as		mate benefit of the general public.
	managers of complex and		
	demanding government functions.		

#### 4.14. Forms Prescribed:

- 4.14.1. AF 342, Retirement Certificate of Civilian Service
- 4.14.2. AF 342A, Certificate of Appreciation (Spouses)
- 4.14.3. AF 1166, Award for Meritorious Service
- 4.14.4. AF 1306, Department of the Air Force Civilian Award for Valor
- 4.14.5. AF 1307, Department of the Air Force Command Civilian Award for Valor
- 4.14.6. AF 1310, Department of the Air Force Exceptional Civilian Service Award Certificate
- 4.14.7. AF 2856, Outstanding Civilian Career Service Award
- 4.14.8. AF 2860, Special Act or Service Award
- 4.14.9. AF 3032, Certificate of Achievement
- 4.14.10. AF 3033, Certificate of Appreciation
- 4.14.11. AF 3034, Certificate of Commendation
- 4.14.12. AF 3517, Department of the Air Force Exemplary Civilian Service Award Certificate

- 4.14.13. AF 3589, 10-Year Length of Service Certificate
- 4.14.14. AF 3590, 20-Year Length of Service Certificate
- 4.14.15. AF 3591, 30-Year Length of Service Certificate
- 4.14.16. AF 3592, 40-Year Length of Service Certificate
- 4.14.17. AF 3593, 50-Year Length of Service Certificate

DONALD L. PETERSON, Lt General, USAF Deputy Chief of Staff, Personnel

#### **Attachment 1**

### GLOSSARY OF REFERENCES AND SUPPORTING INFORMATION

# References

Title 5, United States Code, Government Organization and Employees, current edition

Title 5, Code of Federal Regulations, Administrative Personnel, current edition

Title 10, United States Code, Armed Forces, current edition

DoDD 1400.25-M, DoD Civilian Personnel Manual System

AFPD 36-10, Civilian Performance Management

AFI 36-901, Air Force Senior Executive Resources

# Abbreviations and Acronyms

**AFI**—Air Force Instruction

**AFIAB**—Air Force Incentive Awards Board

**AFMAN**—Air Force manual

AFOEA—Air Force Organizational Excellence Award

AFOUA—Air Force Outstanding Unit Award

**CFR**—Code of Federal Regulations

**DoD**—Department of Defense

**GM**—General manager (now GS)

**GS**—General schedule

**HQ USAF**—Headquarters United States Air Force

MAJCOM—Major command

**NAA**—Notable Achievement Award

**OCCSA**—Outstanding Civilian Career Service Award

**OPM**—Office of Personnel Management

**OPR**—Office of primary responsibility

**PCIP**—Productivity Cash Incentive Program

SASA—Special Act or Service Award

**SAF**—Secretary of the Air Force

**SES**—Senior executive service

**SF**—Standard Form

U.S.C.—United States Code

# **Attachment 2**

# **EMPLOYEE COVERAGE**

Table A2.1. Employee Coverage.

AppointmentPay Status	Monetary	Honorary	Non-Federal	Service
	Awards	Awards	Awards	Awards
Consultants and Experts	No <sup>3</sup>	Yes	Yes <sup>8</sup>	No
Detailees	Yes	Yes	Yes <sup>8</sup>	Yes
Excepted Service (no time limit)	Yes	Yes	Yes	Yes
Executives		V	0	V
Career SES	Yes <sup>4</sup>	Yes	Yes <sup>8</sup>	Yes
Non-career SES	Yes <sup>4</sup>	Yes		Yes
SL	Yes	Yes	Yes <sup>8</sup>	Yes
ST—Scientific and Professional Personnel (5 U.S.C. 3104)	Yes	Yes	Yes <sup>8</sup>	Yes
Federal Wage System (FWS) (no time limit)	Yes	Yes	Yes <sup>8</sup>	Yes
Temporary—120 days or less	Yes <sup>5</sup>	Yes	No	No
Temporary—121 days or more	Yes <sup>5</sup>	Yes	Yes <sup>8</sup>	Yes
Foreign National (Direct Hire)	Yes	Yes	Yes <sup>8</sup>	Yes
General Schedule (without time limitation)	Yes	Yes	Yes <sup>8</sup>	Yes
Temporary—120 days or less	Yes <sup>5</sup>	Yes	No	No
Temporary—120-365 days	Yes <sup>5</sup>	Yes	Yes <sup>8</sup>	Yes
Temporary-more than 1 year	Yes <sup>5</sup>	Yes	Yes <sup>8</sup>	Yes
Intermittent	Yes	Yes	Yes <sup>8</sup>	Yes
IPA Assignment <sup>1</sup>			105	
Federal Employee	No <sup>6</sup>	Yes	Yes <sup>8</sup>	Yes
Non-Federal Employee	No <sup>7</sup>	No	Yes <sup>8</sup>	No
Maximum salary <sup>2</sup>	Yes	Yes	Yes <sup>8</sup>	Yes
Maximum rate of the grade	Yes	Yes	Yes <sup>8</sup>	Yes
At statutory pay limit	Yes	Yes	Yes <sup>8</sup>	Yes
Overseas limited	103	103	Yes	103
GS-indefinite appointment	Yes	Yes	Yes <sup>8</sup>	Yes
FWS-indefinite appointment	Yes	Yes	Yes <sup>8</sup>	Yes
Part-time permanent	Yes	Yes	Yes <sup>8</sup>	Yes
Presidential Intern	Yes	Yes	Yes <sup>8</sup>	No
Private Citizen	No	Yes	Yes <sup>o</sup> No	No
Reemployed Annuitants	Yes	Yes	Yes <sup>8</sup>	Yes
Schedule C, 5 CFR, part 213	Yes	Yes	Yes	Yes
Taper	Yes <sup>5</sup>	Yes	Yes <sup>8</sup>	Yes
Term Appointment longer than 1	Yes	Yes	Yes <sup>8</sup>	No
year	- ~		168	
Veterans Readjustment Appointment	Yes	Yes <sup>8</sup>	No	Yes
Student Career Experience Program	Yes	Yes	Yes <sup>8</sup>	No
Student Temporary Employment	Yes	Yes	Yes <sup>8</sup>	No
Program Emporary Employment	- 50	- 50	168	1,5

#### **NOTES:**

- 1. IPA means the Intergovernmental Personnel Act.
- 2. Defined by 5 U.S.C. 5102 (c)(25). Positions for which rates of pay are individually fixed or expressly authorized to be fixed at or in excess of the maximum rate for level V of the executive schedule.
- 3. May only receive monetary recognition if they meet the definition of an employee under 5 U.S.C. 2105.
- 4. Special Act or Service only for achievements outside job responsibilities.
- 5. If the individual otherwise satisfies criteria for the specific cash award.
- 6. Yes, if the contribution substantially benefits the federal government.
- 7. Yes, if the person is serving under a temporary appointment in the federal government.
- 8. If qualified as outlined in sponsor's criteria.